FULBRIGHT & JAWORSKI L.L.P.

TELEPHONE: 512/418-3000 FACSIMILE: 512/474-7577

MARK B. WILSON PARTNER

INTERNET ADDRESS: MWILSON@FULBRIGHT.COM

DIRECT DIAL: 512/418-3035

November 10, 2000

A REGISTERED LIMITED LIABILITY PARTNERSHIP 600 Congress Avenue, Suite 2400 AUSTIN, TEXAS 78701



HOUSTON WASHINGTON, D.C. AUSTIN SAN ANTONIO DALLAS NEW YORK LOS ANGELES MINNEAPOLIS LONDON HONG KONG

FILE: DEKA:264



#### CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below;

November 10, 2000

Date

Robert E. Hanson

## **BOX MISSING PARTS**

**Assistant Commissioner for Patents** Washington, DC 20231

RE:

SN 09606,808 "TRANSFORMABLE INBRED CORN LINE LIZL5 AND METHODS FOR USE THEREOF" - James R. Larkins et al. (Client reference: 34-63(51675))

Sir:

## Please find enclosed:

- A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 (1) C.F.R. 1.53(b) mailed August 28, 2000;
- Declaration executed by James R. Larkins, David D. Songstad, William L. Petersen, Hongyi (2) Zhang, Michael T. Mann, T. Michael Spencer, and Nancy G. Willetts;
- Power of Attorney; (3)
- Request for Extension of Time; (4)
- Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. (5) 1.53(b)-Filing Date Granted;
- Information Disclosure Statement; (6)
- Form PTO-1449; (7)

Assistant Commissioner for Patents November 10, 2000 Page 2

- (8) References A1-A20, B1, and C1-C40;
- (9) Check in the amount of \$1612.00; and
- (10) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10017735/MBW.

Respectfully submitted,

Robert E. Hanson Patent Agent

Reg. No. 42,628

REH/mar

Encl: as noted



**PATENT** 

In re Application of: James R. Larkins et al.

Serial No.: 09/606,808

Filed: June 28, 2000

For: TRANSFORMABLE INBRED CORN

LINE LIZL5 AND METHODS FOR USE

**THEREOF** 

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: DEKA:264/MBW

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below

11/10/00

Robert E. Hanson

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

#### **BOX MISSING PARTS**

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated August 28, 2000, there are enclosed herewith:

- Declaration executed on behalf of James R. Larkins, David D. Songstad, William L. (a) Petersen, Hongyi Zhang, Michael T. Mann, T. Michael Spencer, and Nancy G. Willetts;
- A Power of Attorney on behalf of DEKALB GENETICS CORPORATION; (b)

11/14/2000 HHARHOL 00000085 09606808

01 FC:115

110.00 OP

1627302.1

(c) Our check in the amount of \$1612.00 to cover the basic filing fee (\$710.00 - utility large entity); surcharge for late filing (\$130.00); additional claims

fee (\$662.00); one month extension of time fee (\$110.00);

(d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under

37 C.F.R. 1.53(B)-Filing Date Granted; and

(e) A Request for Extension of Time of one month to and including November 28,

2000. Should such request be absent, consider this such a request and authorization

to withdraw the appropriate fee under 37 C.F.R. §§ 1.16 to 1.21 as stated below.

An Assignment to DEKALB GENETICS CORPORATION and a check for \$40.00 are

being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10017735/MBW.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Robert E. Hanson

Reg. No. 42,628 Agent for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 418-3000

Date:

November 10, 2000



### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRACEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE

09/606,808

06/28/2000

James R. Larkins et al

**DEKA:264** 

Fulbright & Jaworski L L P 600 Congress Avenue **Suite 2400** Austin, TX 78701



**FORMALITIES LETTER** OC000000005357881

Date Mailed: 08/28/2000

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$654.
  - \$342 for 19 total claims over 20.
  - \$312 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1474.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

00000005 09606608

8888 8888

11/14/2000 HHARHOL

8/28/00